DOCKET NO. 152890-001

ESecial No. 09/805,646

Application for Patent Term Adjustment

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

he application of: Mark Grimse et al.

Confirmation No. 4015

Serial No.: 09/805,646

Group Art Unit: 2121

Filed: March 13, 2001

Examiner: Michael B. Holmes

Title: AUTOMATED PROCESS GUIDANCE SYSTEM AND METHOD

EXPRESS MAIL LABEL NO. EL963418402US DATE OF DEPOSIT: December 10, 2004

MAIL STOP ISSUE FEE

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

1. APPLICATION FOR PATENT TERM ADJUSTMENT

Pursuant to 37 C.F.R. § 1.705, Applicants hereby request reinstatement of 171 days of the term reduced pursuant 37 C.F.R. § 1.704(b). This request is being filed with the payment of the issue fee and is accompanied by the fees set forth in 37 C.F.R. §§ 1.18(e)+(f). The facts supporting this request are set forth below.

Statement of the Facts

1. The patent term adjustment for examination delay

A copy of the Patent Term Adjustment sheet for this application is attached as Exhibit A. As correctly indicated thereon, the USPTO delay is 470 days. The above-identified patent application was filed under 35 U.S.C. § 111(a) on March 13, 2001. Fourteen months from the date the application was filed under 35 U.S.C. § 111(a) was May 13, 2002. A Non-Final Rejection, under 35 U.S.C. § 132, was mailed on August 26, 2003 (see Exhibit A). The date of the Non-Final Rejection was 470 days beyond 14 months from the date the application was filed under 35 U.S.C. § 111(a). Thus, Applicants submit that the correct patent term adjustment is 470 days.

DOCKET NO. 152890-001

Serial No. 09/805,646

Application for Patent Term Adjustment

2. The relevant dates for which adjustment is sought

Adjustment is not sought for additional delay on the part of the USPTO, but for an

incorrect charge of delay to the Applicants. The Patent Term Adjustment sheet (Exhibit A)

incorrectly indicates that the Applicants' delay is 176 days, and deducts this period of delay from

the patent term adjustment due. This delay appears to be due to the filing of an allegedly late

Response to the August 26, 2004 Non-Final Rejection on May 20, 2004 (see Exhibit A).

While the Patent Term Adjustment sheet (Exhibit A) shows the Response to the August

26, 2003 Non-Final Rejection as being filed on May 20, 2004, a review of the Detailed Image

File Wrapper Summary, attached hereto as Exhibit B, shows that Applicants, in fact, filed a

Response to the August 26, 2004 Non-Final Rejection on December 1, 2003. However, the

USPTO lost the December 1, 2003 Response through no fault of the Applicants. As a result, the

Examiner never received the December 1, 2003 Response. On May 20, 2004, after a discussion

with the Examiner, Applicants forwarded copies of the December 1, 2003 Response and the

necessary proof of the December filing to the USPTO (see Transmittal Letter, attached hereto as

Exhibit C). Thus, the period of delay on part of the Applicants is 5 days, judged from the

December 1, 2003 Response filing date, not 176 days. It is inequitable to charge the Applicant

for the USPTO's actions in misplacing the Applicant's properly filed Response.

Terminal disclaimer

Date: December 10, 2004

The present application is not subject to terminal disclaimer.

4. Representations regarding reasonable efforts

With the exception of the 5 day delay noted above, to the undersigned's knowledge, there

were no circumstances constituting a failure to engage in reasonable efforts to conclude

processing or examination of this application as set forth in 37 C.F.R. § 1.704.

Respectfully submitted,

Registration No. 51,322

COZEN O'CONNOR, P.C.

1900 Market Street, 5th Floor

Philadelphia, PA 19103-3508

Telephone: (215) 665-7244

Facsimile: (215) 701-2044

2

Printer Friendly

09/805,646

AUTOMATED PROCESS GUIDANCE SYSTEM AND METHOD

Patent Term Adjustment History

Patent	Term Adjustmen	t (PTA) for A	pplication N	umber: 09/8	05,640	5	
		•			_	Days	
Fili	ing or 371(c) Date: 03-13-2001						
	sue Date of Patent:			Three `	Years:	- :	
Pre-Issu	e Petitions (days):	+0	Appli	cant Delay(A	PPL):	176	
Post-Issu	e Petitions (days):	+0			PTA:		
USPTO	Adjustment(days):	+0	Explanation	n Of Calculat	ions		
	Patent	Term Adjust	ment Histor	y			
Date	Contents Description PTO(Days) API (Da						
09-15-2004	Mail Notice of Al	lowance					
09-14-2004	Correspondence A						
09-14-2004	Change in Power of Attorney (May Include Associate POA)						
09-14-2004	Issue Revision Completed						
09-14-2004	Notice of Allowance Data Verification Completed						
09-14-2004	Notice of Allowal						
	Reference capture						
07-02-2004	Date Forwarded to						
05-20-2004	Information Disclosure Statement (IDS) Filed						
05-20-2004	Response after No	介		176			
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	Information Discl		nt (IDS) Filed	Ŷ		介	
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	Case Docketed to Examiner in GAU			↑			
	Application Dispatched from OIPE						
	Application Is Now Complete			•			
	Correspondence Address Change						
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AUTOMATED PROCESS GUIDANCE SYSTEM AND METHOD

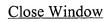
Image File Wrapper

This application is officially maintained in electronic form. To View: Click the desired Document Description. To Download and Print: Check the desired document(s) and click StartDownload.

Notice of Allowance Notice of Allowance List of References cited by Applicant	Page Count 4 3	
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12-01-2003	Miscellaneous Incoming Letter	2
08-26-2003	Non-Final Rejection	14
08-26-2003	List of references cited by Examiner	1
08-26-2003	Notice of Formal Drawings Required	1
08-20-2003	Examiner Search Notes	31
10-16-2001		7
]	Miscellaneous Incoming Letter	19
10-16-2001	Drawings Entersion of time from Applicant filed as senerate letter	
10-16-2001	Extension of time from Applicant - filed as separate letter	1
10-16-2001	Transmittal of New Application	2
10-16-2001	Drawings	19
09-17-2001	Miscellaneous Action with SSP	1
07-30-2001	Miscellaneous Incoming Letter	6
07-30-2001	Drawings	19
07-30-2001	Extension of time from Applicant - filed as separate letter	1
04-05-2001	Miscellaneous Action with SSP	1
03-13-2001	Issue Information on File Wrapper	1
03-13-2001	Search info on File Wrapper	1
03-13-2001	Claims recorded on File Wrapper	1
03-13-2001	Transmittal of New Application	6
03-13-2001	Drawings	19
03-13-2001	Specification	40
03-13-2001	Claim	3
03-13-2001	Abstract	1
03-13-2001	Oath or Declaration filed	26
03-13-2001	Fee Worksheet (PTO-875)	1
03-13-2001	Fee Worksheet (PTO-875)	1
03-13-2001	Transmittal of New Application	4
03-13-2001	Specification	40
03-13-2001	Claim	3
03-13-2001	Abstract	1
03-13-2001	Drawings	21
03-13-2001	Oath or Declaration filed	22



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> 01 650-833-2055 P) 650-833-2001

May 20, 2004

FAX TRANSMISSION COVER SHEET

To:

From:

Re:

Telephone:

Fax Number:

U.S. Patent and Trademark Office

Attn.: Examiner Holmes

1-703-308-6280

1-703-746-5423

Timothy W. Lohse

Attorney Docket Number:

1040659-991101

650-833-2055

U.S. Patent Application: Serial No.:

09/805,646

Filing Date:

March 13, 2001

Examiner: Title:

Michael B. Holmes Art Unit: 2121 **AUTOMATED PROCESS GUIDANCE SYSTEM**

- (including this form) Originals: □ will be mailed ☒ will not be mailed

If there is a problem with this transmission, please call (650) 833-1548 Fax Operator/Ext.

Message:

Attached are a cover letter from Timothy Lohse and copies of the following documents:

- 1. Transmittal Form;
- 2. Fee Transmittal;
- 3. Response to Office Action;
- 4. Information Disclosure Statement,
- 5. Check; and
- 6. Stamped return postcard listing the above documents;

hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office, Attention Examiner Holmes, at Fax No. 703-748-5423 on May 10, 2004

Cathy Pittmen

CONFIDENTIALITY NOTICE

This communication is ONLY for the person named above. Unless otherwise indicated, it contains information that is confidential, privileged or exempt from disclosure under applicable law. If you are not the person named above, or responsible for delivering it to that person, be aware that disclosure, copying, distribution or us of this communication is strictly PROHIBITED. If you have received it in error, or are uncertain as to its proper handling, please immediately notify us by collect telephone and mail the original to us at the above address. Thank you.

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May 20, 2004 VIA FACSIMILE - 703-746-5423

OUR FILE NO. 1040859-991101

Examiner Holmes
United States Patent and Trademark Office

Re: Submission of Response to Office action dated August 26, 2003 U.S. Patent Application Serial No. 09/805,646

Dear Examiner Holmes:

Per your request, attached are true and correct copies of the following documents that were submitted by myself on November 26, 2003 via first class mail in response to an office action mailed on August 26, 2003:

- 1) a transmittal form (with a signed certificate of first class mailing);
- 2) a fee transmittal;
- 3) a response to the office action (with a signed certificate of first class mailing);
- 4) an Information Disclosure Statement (with a signed certificate of first class mailing);
- 5) a check in the amount of \$180; and
- 6) a return postcard listing the above documents and stamped by the US Patent Office indicating receipt of the above documents on December 1, 2003.

Very truly yours,

Gray Cary Ware & Freidenrich LLP

Timothy W. Longs tlohse@graycary.com

TWL:twl Enclosures Gray Cary\EM\7166341.1 1040659-991101

PTQ/SB/21	108-0:
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(to be used for all correspondence after initial	(iling)	Art	212				
· _		Examiner	Mic	hael B. Holmes			
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Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application			narge any additional fees which may be required, at No. 07-1896. A duplicate copy of this sheet is				
Response to Missing Parts under 37 CFR 1.52 or 1.53							
SIGN	ATURE	OF APPLICANT, ATTORN	IEY,	OR AGENT			
Firm Timothy W. Lohse Reg. No. 38 255 or Gray Cary Ward & Freidensich J.P							
Signature	- 10	h					
Date November 26, 2003							
	CERTIF	ICATE OF TRANSMISSIO	N/MA	ULING			
	I hereby certify that this correspondence is being facsimile transmitted to the UEPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.						
Typed or printed Kathleen La	Brie	<i>8</i>					
Signature Washiell Matter Date November 26, 2003							

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentially is governed by 35 U.S.C. 122 and 37 CFR 1.1. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will very depending upon the individual case. Any comments on the amount of time you require to complete this form another suggestions for reducing this burden, should be cent to the Chief Information Officer, U.S. Palamit and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS, SEND TO: Commissioner for Patents, P. . Box 1450, Alexandria, VA 22313-1450.

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1004 770 2004 385 Refissue filing fee		1403	290	2403	145	_	st for oral hearing	
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Name (Print/Type) Timothy W. Lohse // Registration N (Ammey/Ager			won No y/Agen	35,	255	Telephone 650-6	333-2000	
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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or relatin a benefit by the public which is to 60 (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathedray, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Cificar, U.S. Palent and Trademark Office, U.S. Department of Commerce, P.O. Bux 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS.

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Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Mailing Date: November 26, 2003 U.S. Serial No.: 09/805,646 Filing Date: March 13, 2001 Title: Automated Process Quidance System And Method

Enclosed are:

- Transmittal Form (in deplicate);
- For Transmittal (in duplicate);
- Response to Office Action;
- Information Disclosure Statement w/ PTO Form/SB/08a & b;
- Thirteen (13) references,
- Certificate of first class mailing;
- Return Postcard.

1040659-991101 (Kadiri Inc.) TWL/12074/W.

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Mailing Date: November 26, 2003 U.S. Serial No.: 09/805,646 Filing Date: March 13, 2001 Title: Automated Process Guidance System And Method

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 1) Transmittal Form (in duplicate);

 2) Fee Transmittal (in duplicate);

 3) Response to Office Action;

- Information Disclosure Statement w/ PTO Form/SB/08a & b;
- Thirteen (13) references; Cartificate of first class mailing;
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